

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. Department of Commerce

Under the paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>		
				Application No.	10/011,867	
				Filing Date	December 5, 2001	
				First Named Inventor	Clifton A. Alferness et al.	
				Art Unit	3738	
				Examiner Name	U. Chattopadhyay	
Sheet	1	Of	4	Attorney Docket No.		29912-705.201

U.S. PATENT DOCUMENTS					
Examiner's Initials	Cite No.	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>1</sup> (if known)			
MC		US-2001/0049558-A1	12/06/2001	Liddicoat et al.	
		US-2002/0035361-A1	03/21/2002	Houser et al.	
		US-2002/0042621-A1	04/11/2002	Liddicoat et al.	
		US-2002/0042651-A1	04/11/2002	Liddicoat et al.	
		US-2002/0049468-A1	04/25/2002	Streeter et al.	
		US-2002/0055774-A1	05/09/2002	Liddicoat	
		US-2002/0065554-A1	05/30/2002	Streeter	
		US-2002/0095167-A1	07/18/2002	Liddicoat et al.	
V		US-2002/0103533-A1	08/01/2002	Langberg et al.	
		US-2002/0138044-A1	09/26/2002	Streeter et al.	
		US-2003/0018358-A1	01/23/2003	Saadat	

FOREIGN PATENT DOCUMENTS						
Examiner's Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1 <sup>2</sup>
		Country Code <sup>1</sup> - Number <sup>1</sup> - Kind Code <sup>3</sup> (if known)				
MC		WO 00/44313-A1	08/03/2000	Viacor Inc.		
		WO 00/60995-A3	10/19/2000	EValve, Inc.		
		WO 01/00111-A1	01/04/2001	J. Solem et al.		
		WO 01/50985-A1	07/19/2001	Viacor, Inc.		
		WO 02/00099-A2	01/03/2002	Viacor, Inc.		
		WO 02/01999-A2	01/10/2002	Viacor, Inc.		
		WO 02/05888-A1	01/24/2002	Viacor, Inc.		
		WO 02/19951-A1	03/14/2002	Viacor, Inc.		
V		WO 02/34118-A2	05/02/2002	Viacor, Inc.		
EXAMINER SIGNATURE				DATE CONSIDERED	3/15/2004	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
 1 Applicant's unique citation designation number (optional) 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

2404570\_1.DOC  
 Attorney Docket No. 29912-705.201

BEST AVAILABLE COPY

PTO/SB/08A (10-01)



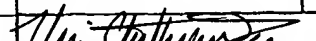
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. Department of Commerce

Under the Paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>		
				Application No.	10/011,867	
				Filing Date	December 5, 2001	
				First Named Inventor	Clifton A. Alfness et al.	
				Art Unit	3738	
				Examiner Name	U. Chattopadhyay	
Sheet	2	Of	4	Attorney Docket No.		29912-705.201

U.S. PATENT DOCUMENTS						
Examiner's Initials	Cite No. 1	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2</sup> (if known)				
WJ		US-4,055,861	11/01/1977	Carpentier et al.		
		US-4,164,046	08/14/1979	Cooley		
		US-4,485,816	12/04/1984	Krumme		
		US-4,550,870	11/05/1985	Krumme et al.		
		US-4,830,023	05/16/1989	de Toledo et al.		
		US-5,061,277	10/29/1991	Carpentier et al.		
		US-5,250,071	10/05/1993	Palermo		
		US-5,261,916	11/16/1993	Engelson		
		US-5,265,601	11/30/1993	Mehra		
		US-5,350,420	09/27/1994	Cosgrove et al.		
		US-5,514,161	05/07/1996	Limousin		
		US-5,554,177	09/10/1996	Kieval et al.		
		US-5,562,698	10/08/1996	Parker		
✓		US-5,584,867	12/17/1996	Limousin		
		US-5,601,600	02/11/1997	Ton		
		US-5,733,325	03/31/1998	Robinson et al.		

FOREIGN PATENT DOCUMENTS						
Examiner's Initials	Cite No.1	Foreign Patent Document	Publication Date: MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> - Number <sup>2</sup> - Kind Code <sup>4</sup> (if known)				
 		WO 02/47539-A2	06/20/2002	Viacor, Inc.		
		WO 02/62270-A1	08/15/2002	J. Solcm et al.		
		WO 02/678576-A2	10/10/2002	Viacor, Inc.		
EXAMINER SIGNATURE			DATE CONSIDERED	3/15/2004		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
 1 Applicant's unique citation designation number (optional) 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

2404570.1.DOC

Attorney Docket No. 29912-705.201

BEST AVAILABLE COPY

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. Department of Commerce

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT.</b> <i>(Use as many sheets as necessary).</i>				<i>Complete if Known</i>	
				Application No.	10/011,867
				Filing Date	December 5, 2001
				First Named Inventor	Clifton A. Alferness et al.
				Art Unit	3738
				Examiner Name	U. Chattopadhyay
				Sheet	3

## U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>3</sup> (if known)			
MC		US-5,824,071	10/20/1998	Nelson et al.	
		US-5,891,193	04/06/1999	Robinson et al.	
		US-5,895,391	04/20/1999	Farnholtz	
		US-5,908,404	06/01/1999	Elliott	
		US-5,935,161	08/10/1999	Robinson et al.	
		US-5,961,545	10/05/1999	Lentz et al.	
		US-5,984,944	11/16/1999	Forber	
		US-6,027,517	02/22/2000	Crocker et al.	
		US-6,077,295	06/20/2000	Limon et al.	
		US-6,077,297	06/20/2000	Robinson et al.	
V		US-6,099,549	08/08/2000	Bosma et al.	
		US-6,099,552	08/08/2000	Adams	
		US-6,129,755	10/10/2000	Mathis et al.	

## FOREIGN PATENT DOCUMENTS

[illegible]

EXAMINER  
SIGNATURE

DATE  
CONSIDERED

**SIGNATURE** AMAR K. KUMAR 11/11/2014 11/11/2014

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

1 Applicant's unique citation designation number (optional) 2 See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 6). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

2404570 1.DOC

Attorney Docket No. 29912-705:201

COPIES AVAILABLE

